

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on March 13, 2002.


Beverly S. Carter

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Masaki KUROKAWA

Serial No.: 10/014,111

Filing Date: December 10, 2001

For: EXPOSURE METHOD, ELECTRON
BEAM EXPOSURE APPARATUS AND
FABRICATION METHOD OF
ELECTRONIC DEVICE

Examiner: Unknown

Group Art Unit: 2881

**COPY OF PAPERS
ORIGINALLY FILED**

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

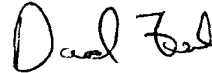
In complete response to the enclosed Notice to File Missing Parts of Application Under
37 C.F.R. § 1.53(f) dated January 18, 2002, attached please find:

- ☒ The original Declaration (combined with Power of Attorney) signed by the inventor(s). Please see attached Fee Transmittal For FY 2002(PTO/SB/17) for charging the fee as set forth in 37 C.F.R. § 1.16(e).
- ☐ A declaration signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☐ A Power of Attorney and Prosecution by Assignee Under 37 C.F.R. § 3.71.
- ☐ A Declaration of Small Entity Status.
- ☐ A Petition for Extension of Time.
- ☐ A verified English translation of the application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k). Please see attached Fee Transmittal Form FY 2001.
- ☐ A preliminary amendment.
- ☒ Other: : Supplemental Initial Information Data Sheet (to correct title of invention); and Request to Correct Filing Receipt

The Assistant Commissioner is hereby authorized to charge the total fee of \$130.00 and any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952**. The Fee Transmittal For FY 2002 (PTO/SB/17) is enclosed (in duplicate) for that purpose.

Respectfully submitted,

Dated: March 13, 2002



David L. Fehrman
Registration No. 28,600

Morrison & Foerster LLP
555 West Fifth Street
Suite 3500
Los Angeles, California 90013-1024
Telephone: (213) 892-5601
Facsimile: (213) 892-5454

FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

MAR 22 2002

Applicant Claims Small Entity Status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$130.00)

Compleat If Known

Application Number 10/014,111
 Filing Date December 10, 2001
 First Named Inventor Masaki KUROKAWA
 Examiner Name Currently unknown
 Group Art Unit 2881
 Attorney Docket No. 514802001300

METHOD OF PAYMENT

☐ Check ☐ Credit Card ☐ Money Order ☐ Other
Deposit
Account
Number

03-1952

Deposit
Account
Name

Morrison & Foerster LLP

The Commissioner is authorized to: (check all that apply)

- ☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) required Under 37 CFR 1.16 and 1.17
☐ Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large	Entity	Small	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee (\$)	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	160	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
0	-20** = 0	x 18 = \$0	
Independent Claims	0	-3** = 0	x 84 = \$0

Multiple Dependent

= \$0

Large	Entity	Small	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0)

** or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$130.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	David L. Fehrman	Registration No. (Attorney/Agent)	28,600	Telephone	(213) 892-5601
Signature	<i>David L. Fehrman</i>	Date	March 13, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

la-556964

COPY OF PAPERS
ORIGINALLY FILED

571

Receipt

PATENT
Docket No. 514802001300

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231 on March 13, 2002.


Beverly S. Carter



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Masaki KUROKAWA

Serial No.: 10/014,111

Filing Date: December 10, 2001

For: EXPOSURE METHOD, ELECTRON
BEAM EXPOSURE APPARATUS AND
FABRICATION METHOD OF
ELECTRONIC DEVICE

Examiner: Unknown

Group Art Unit: 2881

REQUEST TO CORRECT FILING RECEIPT AND
SUBMISSION OF SUPPLEMENTAL INITIAL INFORMATION DATA SHEET

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With reference to the above application, Applicants request correction of the filing receipt and submit the enclosed supplemental initial information data sheet for the purposes of correcting the error.

The original initial information data sheet has an error in the title of the invention. The information data sheet gives the title as "Electron Beam Exposure Apparatus and Fabrication Method of Electronic Device". However, the correct title is --EXPOSURE METHOD, ELECTRON BEAM EXPOSURE APPARATUS AND FABRICATION METHOD OF ELECTRONIC DEVICE--. The enclosed supplemental Information Data Sheet has the

corrected information. Applicants request that the enclosed document is made of record in the subject application, and a correct filing receipt is issued. Also enclosed is a marked-up copy of the filing receipt which shows the correction in red.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other additional fees due in connection with the filing of this document to **Deposit Account No. 03-1952**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: March 13, 2002

By:



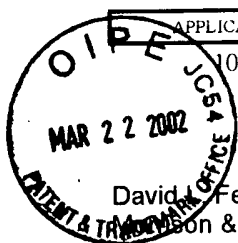
David L. Fehrman
Registration No. 28,600

Morrison & Foerster LLP
555 West Fifth Street, Suite 3500
Los Angeles, California 90013-1024
Telephone: (213) 892-5601
Facsimile: (213) 892-5454



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



David M. Fehrman
Davidson & Foerster LLP
35th Floor
555 W. 5th Street
Los Angeles, CA 90013

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/014,111	12/10/2001	Masaki Kurokawa	514802001300

CONFIRMATION NO. 3958

FORMALITIES LETTER



OC000000007330815

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 01/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

mar
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/08/2002 UTRUNG1 00000013 031952 10014111

01 FC:105

130.00 CH



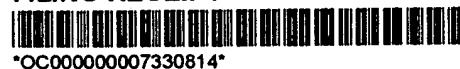
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/014,111	12/10/2001	2881	824	514802001300	4	18	4

CONFIRMATION NO. 3958

FILING RECEIPT



OC000000007330814

David L. Fehrman
Morrison & Foerster LLP
35th Floor
555 W. 5th Street
Los Angeles, CA 90013

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 01/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masaki Kurokawa, Tokyo, JAPAN;

Assignment For Published Patent Application

ADVANTEST CORPORATION;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2001-370545 12/04/2001

JAPAN 2001-376423 12/10/2001

RECEIVED

JAN 28 2002

MORRISON & FOERSTER LLP
LOS ANGELES

If Required, Foreign Filing License Granted 01/18/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

*Exposure Beam, Electron Beam Exposure Apparatus And Fabrication Of
Electronic Device*
Electron beam exposure apparatus and fabrication method of electronic device